



## Children's Single Point of Access Application Part 1

Youth Applicant's Identifying Information			
Legal Last Name	Legal First Name	MI	Date of Birth

**Directions: Complete this form and submit to the youth applicant's C-SPOA of origin to apply for C-SPOA Coordination.**

**Note:** To apply for Youth Assertive Community Treatment (ACT), Children's Community Residence (CCR), or Residential Treatment Facility (RTF), submit this completed form and the C-SPOA Application Part 2 to C-SPOA.

Check this box if submitting this application with the C-SPOA Part 2 Application for Youth ACT, CCR and RTF.

Youth Applicant Information			
<b>Youth's Name in Use</b>		<b>Pronouns in Use</b>	
<b>Sex assigned on youth's birth certificate</b> <input type="checkbox"/> Male <input type="checkbox"/> Female		<b>Gender Identity</b> Agender                      Nonbinary/Genderqueer Female                        X Male                            Other: _____	
<b>Youth's Race – select all that apply</b> <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> Asian <input type="checkbox"/> White <input type="checkbox"/> Black or African American		<b>Primary Language/Mean of Communication:</b>	<b>Is the youth fluent in English?</b> Yes      No
<b>Youth's Ethnicity</b> <input type="checkbox"/> Hispanic <input type="checkbox"/> Non-Hispanic	<b>SSN</b>	<b>County of Origin</b>	
<b>Permanent Home Address, if applicable</b>		<b>Current Location (if different from home)</b>	
<b>Does the youth have Medicaid coverage?</b> Yes      No	<b>Medicaid/CIN#</b>	<b>Check if the youth is eligible for any of the following:</b> Title IV-E      SSI      SSDI	
People with the following immigration status may be eligible for Medicaid: <div style="display: flex; justify-content: space-between;"> <ul style="list-style-type: none"> <li>Citizen</li> <li>Permanent resident (green card holder)</li> <li>Refugee or asylee</li> </ul> <ul style="list-style-type: none"> <li>U or T visa holder (for victims of crime or trafficking)</li> <li>Employment authorization card holder</li> <li>Deferred Action for Childhood Arrivals (DACA) recipient</li> </ul> </div>			
<b>Does the youth's immigration status fall into one of the above categories?</b> Yes      No <b>Is documentation available to confirm the youth's immigration status falls into one of the above categories?</b> Yes      No			
<b>Does youth have private health insurance?</b> Yes      No	<b>Insurance Plan</b>	<b>Insurance Policy Number</b>	
<b>Is youth enrolled in Health Home Care Management/Coordination?</b> Yes      No      Unknown	<b>If the child is enrolled in Health Homes Serving Children or Health Homes Serving Individuals with ID and/or DD, provide contact info.:</b> Agency & HHCM/CCO Name: _____ Phone Number: _____ Email: _____		
Referrer Contact information (if other than caregiver)			
<b>Name/Title of Referrer</b>		<b>Referring Organization/Program</b>	
<b>Address of Referrer</b>			
<b>Referrer Phone</b>	<b>Referrer Fax</b>	<b>Referrer Email</b>	



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Legal Last Name		Legal First Name		MI	Date of Birth
7 UFY[ Jj Yf'7 cbHWWi %bZfa Ujcb'			7 UFY[ Jj Yf'7 cbHWWi &bZfa Ujcb'		
: i ``BUa Y' Primary Contact?			: i ``BUa Y' Primary Contact?		
5 XXfYgg'			5 XXfYgg'		
D\ cbY'		9a Uj'		D\ cbY' 9a Uj'	
FYUjcbg\ jd'hc'Mci h'		@[ U'; i UfX]Ub3'		FYUjcbg\ jd'hc'Mci h' @[ U'; i UfX]Ub3'	
		Yes No		Yes No	
7 UFY[ Jj Yf'Df]a Ufm@b[ i Uj Y'		: `i Ybh]b'9b[ `]g\ 3'		7 UFY[ Jj Yf'Df]a Ufm@b[ i Uj Y' : `i Ybh]b'9b[ `]g\ 3'	
		Yes No		Yes No	
@[ U'# i glcXmiGUi g'					
Both parents together			Other, Relative		
Biological father only			Emancipated Minor		
Biological mother only			DSS. Identify locality:		
Joint custody			ACS. Identify Case Planning agency:		
Adoptive Parent(s)					
OCFS and Family Court. Identify Status					
Case Pending			Youthful Offender		Juvenile Delinquent
Person In Need of Supervision (PINS)			Juvenile Offender		Restrictive Placement
Please note any details about custody status (e.g. restricted access):					
FYUgcb'Zcf'C-SPOA Coordination FYZffU					
FYUgcb'Zcf'rYZffU fXbYh]ZngYfj jW'bYYXg'UbX'jbhYfYgh'5 HUU' UXX]h]cbU'g\ YYh]ZbYYXYX'Z'					
A YbHJ'<YUH'8 jUj bcg]g'f]Z_bck bL'					
8 cYg'h YW JX\ Uj Y'Ua YbHJ'		ZgcZk\ Uh]g'h Ydf]a UfmX]Uj bcg]g3'			
\ YUH' X]Uj bcg]g?		K\ Yb'k Ug'h YX]Uj bcg]g'a UXY3'			
Yes No Unknown					
< Ug'U @WYbgYX'DfUW]h]cbYf'cZH Y'< YU]b[ '5 fhg'XYHfa ]bYX'h Uh'h Y'				ZgcZk\ Yb'k Ug'h Y' XYHfa ]bUjcb'a UXY3'	
nci h'a YYhs'W]Hf]U'Zcf'gYf]ci g'Ya ch]cbU'X]g]h fVubW3'					
Yes No Unknown					



## Children's Single Point of Access Application Part 1

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Legal Last Name	Legal First Name	MI	Date of Birth
Intellectual and Developmental Disability Diagnosis (if known)			
Does the child have an intellectual and/or developmental disability diagnosis?		If so, what is the diagnosis?	
Yes      No      Unknown		When was the diagnosis made?	
IQ Testing Scores (if available)			
Full Scale	Verbal Subscale, as applicable	Non-Verbal Subscale, as applicable	Test date
Current Providers			
School and grade		Therapist/Therapist's agency	
Psychiatric Medication Prescriber/agency		Other service provider/agency	
Additional Service Information			
Number of psychiatric hospitalizations in the previous 12 months		Number of Emergency Department visits in the previous 12 months	
Is the youth currently eligible for Home and Community Based Services? Yes      No      Application Pending      Unknown			
Is youth currently receiving preventive services through DSS or ACS? Yes      No <input type="checkbox"/> Unknown		If yes, name of Prevention provider	
Is the youth currently in foster care? Yes      No      Unknown		Is the youth freed for adoption? Yes      No      Unknown	
Is the youth currently OPWDD eligible? Yes      No      Application Pending		Is the youth currently eligible for OPWDD Home and Community Based Services? Yes      No      Application Pending	
Other systems involvement (e.g., child welfare, etc.) – Please specify			
Preliminary Eligibility for Health Home Case Management <span style="float: right;">check here if the youth has HHCM</span>			
Does the youth have two or more chronic conditions (e.g., asthma, diabetes, substance use disorder)?	Yes	No	Unknown
Does the youth have HIV/AIDS?	Yes	No	Unknown
Do you believe the youth has a Serious Emotional Disturbance? (Youth meets one of the below criteria) <ul style="list-style-type: none"> <li>• Difficulty with self-care, family life, social relationships, self-control, or learning</li> <li>• Suicidal symptoms</li> <li>• Psychotic symptoms (hallucinations, delusions, etc.)</li> <li>• Is at risk of causing personal injury or property damage</li> <li>• The youth's behavior creates a risk of removal from the household</li> </ul>	Yes	No	Unknown
Has the youth been exposed to multiple traumatic events that have left a long-term and wide- ranging impact?	Yes	No	Unknown



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**REQUIRED CONSENT FOR RELEASE OF INFORMATION**  
for Single Point of Access (SPOA), \_\_\_\_\_ County ("County")

This authorization must be completed by the referred individual or his/her legal guardian/personal representative. This authorization permits the use, disclosure and re-disclosure of Protected Health Information (PHI) in accordance with State and Federal laws and regulations that govern the release of confidential records, as well as Title 42 of the Code of Federal Regulations that governs the release of drug & alcohol records for the purposes of care coordination, delivery of services, payment for services, and health care operations.

**I AUTHORIZE communication with, and an exchange of Personally Identifying Information (PII) and PHI** between, the County Single Point of Access (SPOA) team (comprised of County and state employees as well as representatives of local service providers), Other Provider(s) (see attached list of Providers on page 5); **AND** the Referral Source (Person /Title Agency / School or Correctional Facility): \_\_\_\_\_

**DESCRIPTION OF INFORMATION** to be used / disclosed and re-disclosed (*check ALL that apply*): ☐ **ALL listed below**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Referral (including contact info)     | <input type="checkbox"/> Financial &/or Insurance Info    | <input type="checkbox"/> Diagnosis                          |
| <input type="checkbox"/> Psychiatric Evaluation/Assessment     | <input type="checkbox"/> Discharge Summary/Treatment Plan | <input type="checkbox"/> Physical Health                    |
| <input type="checkbox"/> Mental Health/Psychosocial Assessment | Pre-Sentence Investigation Report                         | <input type="checkbox"/> Medications (past & present)       |
| <input type="checkbox"/> Psychological &/or Neurological Tests | HIV/AIDS-related Information                              | <input type="checkbox"/> Substance Use                      |
| <input type="checkbox"/> Documentation of Medical Necessity    | Inpatient/Outpatient Treatment                            | <input type="checkbox"/> School Records (including testing) |
| <input type="checkbox"/> Psychosocial History and Assessment   | Other (specify): _____                                    |   |
| <input type="checkbox"/> Family Planning Information           |   |   |

**PURPOSE OR NEED FOR INFORMATION:**

**Allow SPOA to:** make referrals to appropriate providers; consult regarding care; participate in care management services; provide discharge planning information to the providers listed on page 5; coordinate care among providers and through Health Homes; and facilitate participation in services accessed through SPOA.

**I UNDERSTAND and ACKNOWLEDGE:**

- This information must not be used, disclosed, or re-disclosed for any other purpose not covered under this authorization;
- With some exceptions, health information once disclosed may be re-disclosed by the recipient. If I am authorizing the release of information related to HIV/AIDS-related, alcohol or drug treatment, or mental health treatment, the recipient is prohibited from re-disclosing such information or using the disclosed information for any other purpose without my authorization unless permitted to do so under federal or state law or regulation;
- I am authorizing the re-disclosure of above-described information to the providers identified on page 5 of this form for the purposes identified on this form;
- I have the right to revoke (take back) this authorization at any time. My revocation must be in writing on a form provided by **County**. I am aware that my revocation does not affect information disclosed while the authorization was in effect;
- I do not have to sign this authorization and that my refusal to sign will neither affect my ability to obtain treatment, nor my eligibility for benefits;
- I have the right to inspect and copy my own PHI to be used/disclosed (in accordance with the requirements of the federal privacy protection regulations found under 45 CFR § 164.524);

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**I HEREBY AUTHORIZE** the use, disclosure, and re-disclosure of the indicated PHI by and to the parties identified on this release as often as necessary to fulfill the purpose(s) identified above, and this authorization will expire: (check one)

When the individual named herein is no longer receiving services from County SPOA;

One Year from the date of signature;                      Other: \_\_\_\_\_

**I CERTIFY THAT I AUTHORIZE** the use of the PHI as set forth in this document. By signing this authorization, I acknowledge that I have read and understand it. The facility, its employees, officers and physicians are hereby released from any legal responsibility or liability from the disclosure of the above information to the extent indicated and authorized herein.

\_\_\_\_\_  
**SIGNATURE of Individual, Parent or Legal Guardian   Printed Name of Individual signing      Date**

\_\_\_\_\_  
**Description of Authority of Personal Representative**

\_\_\_\_\_  
**SIGNATURE of WITNESS                      Printed Name of Witness/Title                      Date**

**List of agencies with which the SPOA Committee is permitted to exchange information**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

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\_\_\_\_\_

\_\_\_\_\_



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### COMMUNICATION PREFERENCES

County SPOA wants to respect your wishes regarding communication. Please indicate your preferences below.

#### US Mail

Can we send mail to your address with our return address on the envelope?      Yes      No

#### Telephone:

When calling, can we say we are County SPOA (Single Point of Access)?      Yes      No

Are we able to leave a voicemail at the telephone number(s) provided?      Yes      No

### PERMISSION FOR ELECTRONIC COMMUNICATION

I understand the transmission of electronic information may not be secure. E-mails and cell phone communications are unencrypted, and other concerns may exist including but not limited to: email and faxes may accidentally be sent to the wrong person; content may be changed without knowledge; copies may exist; some e-mails may contain harmful viruses; cell phone communications may be intercepted or heard by others; texting leaves a record of communication; and there is a risk of loss of device with information on it.

**BY SIGNING BELOW, I HEREBY AUTHORIZE** County Mental Health SPOA Team permission to correspond ***with me*** via (check all that apply):

- |                                       |                |       |
|---------------------------------------|----------------|-------|
| <input type="checkbox"/> FAX          | Fax Number:    | _____ |
| <input type="checkbox"/> E-MAIL       | Email Address: | _____ |
| <input type="checkbox"/> CELL PHONE   | Phone Number:  | _____ |
| <input type="checkbox"/> TEXT MESSAGE | Phone Number:  | _____ |

I understand this permission may be cancelled by me at any time but cannot apply retroactively to communication that has already been sent.

\_\_\_\_\_  
SIGNATURE of Individual, Parent or Legal Guardian

\_\_\_\_\_  
Printed Name of Individual signing

\_\_\_\_\_  
Date

\_\_\_\_\_  
Description of Authority of Personal Representative

\_\_\_\_\_  
SIGNATURE of WITNESS

\_\_\_\_\_  
Printed Name of Witness/Title

\_\_\_\_\_  
Date



### Youth Applicant's Information

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### Optional Children's Single Point of Access (C-SPOA) Patient Information Retrieval Consent

\_\_\_\_\_  
Name of SPOA County

The SPOA Committee may get health information, including your youth's health records, through a computer system run by \_\_\_\_\_, a Regional Health Information Organization (RHIO). A RHIO uses a computer system to collect and store health information, including medical records, from your youth's doctors and health care providers who are part of the RHIO. The RHIO can only share your youth's health information with people who you say can see or get such health information.

The SPOA Committee may also get health information, including your youth's history of services reimbursed by Medicaid through a computer system called PSYCKES, which is run by the New York State Office of Mental Health. PSYCKES is a computer system maintained by the New York State Office of Mental Health that contains health information from the NYS Medicaid database, health information from clinical records, and information from other NYS health databases. For an updated list and more information about the NYS health databases in PSYCKES, visit [www.psyckes.org](http://www.psyckes.org) and see "About PSYCKES."

If you agree and sign this form, SPOA Committee members are allowed to get, see, read and copy ALL of your youth's health information (including all of the health information obtained from the RHIO and/or from PSYCKES) that they need to arrange your youth's care, manage such care or study such care to make health care better for patients. The health information they may get, see, read and copy may be from before and after the date you sign this form. Your health records may have information about illnesses or injuries your youth had or may have had before; test results, like X-rays or blood tests; and the medicines your youth is now taking or has taken before. Your youth's health records may also have information on:

- Alcohol or drug use problems
- Birth control and abortion (family planning)
- Genetic (inherited) diseases or tests
- HIV/AIDS
- Mental health conditions
- Sexually transmitted diseases
- Medication and Dosages
- Diagnostic Information
- Allergies
- Substance use history summaries
- Clinical notes
- Discharge summary
- Employment Information
- Living Situation
- Social Supports
- Claims Encounter Data
- Lab Tests

Health information is private and cannot be given to other people without proper permission under New York State and U.S. laws and rules. The providers that can get and see your youth's health information must obey all these laws. They cannot give your youth's information to other people unless an appropriate guardian agrees or the law says they can give the information to other people. This is true if health information is on a computer system or on paper. Some laws cover care for HIV/AIDS, mental health records, and drug and alcohol use. The providers that use your youth's health information and the SPOA Committee must obey these laws and rules.

**Please read all the information on this form before you sign it:**

**I GIVE CONSENT** for the SPOA Committee to access ALL of my youth's health information through the RHIO and/or through PSYCKES to provide my youth care or manage my youth's care, to check if my youth is in a health plan and what the plan covers.

**I DENY CONSENT** for the SPOA Committee to access ALL of my youth's health information through the RHIO and/or through PSYCKES; however, I understand that my provider may be able to obtain my information even without my consent for certain limited purposes if specifically authorized by state and federal laws and regulations.

\_\_\_\_\_  
SIGNATURE of PARENT or LEGAL GUARDIAN

\_\_\_\_\_  
Printed Name of Parent/Legal Guardian

\_\_\_\_\_  
Date

\_\_\_\_\_  
SIGNATURE of WITNESS

\_\_\_\_\_  
Printed Name of Witness

\_\_\_\_\_  
Date



## Patient Information Sharing Consent

### Details About Patient Information and the Consent Process

#### 1. How will SPOA providers use my information?

If you agree, SPOA providers will use your health information to:

- Coordinate your health care and manage your care;
- Check if you have health insurance and what it pays for; and
- Study and make health care for patients better.

The choice you make does NOT let health insurers see your information to decide whether to give you health insurance or pay your bills.

#### 2. Where does my health information come from?

Your health information comes from places and people that gave your health care or health insurance in the past. These may include hospitals, doctors, drugstores, laboratories, health plans (insurance companies), the Medicaid program, and other groups that share health information. For a list of the information available in PSYCKES, visit the PSYCKES website at [www.psyckes.org](http://www.psyckes.org) and see "About PSYCKES" or ask your treatment provider to print the list for you.

#### 3. What laws and rules cover how my health information can be shared?

These laws and regulations include New York Mental Hygiene Law Section 33.13, New York Public Health Law Article 27-F, and federal confidentiality rules, including 42 CFR Part 2 and 45 CFR Parts 160 and 164 (which are the rules referred to as "HIPAA").

#### 4. If I agree, who can get and see my information?

The only people who can see your health information are those who you agree can get and see it, like doctors and other people who work for the SPOA and who are involved in your health care and people who work for a SPOA provider who is giving you care to help them check your health insurance or to study and make health care better for all patients.

#### 5. What if a person uses my information and I didn't agree to let them use it?

If you think a person used your information, and you did not agree to give the person your information, call one of the providers you have said can see your records, the SPOA at \_\_\_\_\_, the United States Attorney's Office at (212) 637-2800, or the NYS Office of Mental Health Customer Relations at 800-597-8481.

#### 6. How long does my consent last?

Your consent will last until the day you take back your consent, or if the SPOA stops working, or three years after the last date of service from the SPOA, whichever comes first.

#### 7. What if I change my mind later and want to take back my consent?

You can take back your consent at any time by signing a Withdrawal of Consent Form and giving it to the SPOA. You can get this form by calling \_\_\_\_\_. Note: Even if you later decide to take back your consent, providers who already have your information do not have to take it out of their records.

#### 8. How do I get a copy of this form?

You can have a copy of this form after you sign it.



# Niagara County Children's Single Point of Access Program – Referral Form Part I Attachment A

## List of agencies with which the Niagara County C-SPOA Program and Committee is permitted to exchange information - Attachment A

This permission to use, disclose and/or re-disclose records applies to the following organizations and people who work at those organizations as appropriate. These organizations work together to deliver services to residents of Niagara County.

<ul style="list-style-type: none"> <li>Beacon Center</li> <li>Best Self Behavioral Health</li> <li>BestSelf Health Home (<i>includes all associated care management agencies</i>)</li> <li>BryLin Hospitals, Inc.</li> </ul>	<ul style="list-style-type: none"> <li>Monroe Plan</li> <li>New Directions Youth &amp; Family Services, Inc.</li> </ul>
<ul style="list-style-type: none"> <li>Buffalo Federation of Neighborhood Centers (BFNC)</li> <li>Buffalo Psychiatric Center</li> <li>Cattaraugus Rehabilitation Center Community Residence</li> <li>Catholic Charities of WNY</li> <li>Catholic Health System</li> <li>Cazenovia Recovery Systems</li> <li>Central NY Psychiatric Centers and satellite offices</li> </ul>	<ul style="list-style-type: none"> <li>Niagara County Department of Mental Health &amp; Substance Abuse Services</li> <li>Niagara County Department of Social Services</li> <li>Niagara County Office for the Aging</li> <li>Niagara County Probation Department</li> <li>Niagara Falls Memorial Medical Center (NFMHC)</li> <li>NFMHC Health Home Care Management</li> <li>Northpointe Council, Inc.</li> <li>NYS Department of Corrections and Community Supervision (<i>includes Parole</i>)</li> </ul>
<ul style="list-style-type: none"> <li>Child &amp; Family Services</li> <li>Children's Health Home of Upstate New York (CHHUNY) (<i>includes all associated care management providers</i>)</li> <li>Children's Health Home of WNY (dba OISHHEI Healthy Kids) (<i>includes all associated care management agencies</i>)</li> <li>Community Health Center of Buffalo (CHCOB)</li> <li>Community Health Center of Niagara (CHCON)</li> </ul>	<ul style="list-style-type: none"> <li>NYS Council on Children and Families – Interagency Resolution Unit (<i>formerly Hard to Place / Serve Committee</i>)</li> <li>OISHHEI Healthy Kids and Women &amp; Children's Hospital (<i>includes all associated care management providers</i>)</li> <li>Orleans Niagara BOCES</li> <li>Our Lady of Victory (OLV) <i>formerly Baker Victory Services</i></li> </ul>
<ul style="list-style-type: none"> <li>Community Services for Every1</li> <li>Community Missions of Niagara Frontier, Inc.</li> <li>Dale Association</li> </ul>	<ul style="list-style-type: none"> <li>Pathways, Inc. Community Residence</li> <li>Person Centered Services</li> <li>Pinnacle Community Services (<i>formerly Family &amp; Children's Services of Niagara</i>)</li> </ul>
<ul style="list-style-type: none"> <li>DePaul Community Services</li> <li>DePaul Properties</li> <li>Living Opportunities of DePaul</li> </ul>	<ul style="list-style-type: none"> <li>Prime Care Inc.</li> </ul>
<ul style="list-style-type: none"> <li>DePaul Community Services</li> <li>DePaul Properties</li> <li>Living Opportunities of DePaul</li> <li>Dr. Joshua Russell, MD</li> </ul>	<ul style="list-style-type: none"> <li>Prime Care Medical, Inc at the Niagara County Jail</li> <li>Psychotherapy Associates of Niagara</li> </ul>
<ul style="list-style-type: none"> <li>East Amherst Psychology Group</li> </ul>	<ul style="list-style-type: none"> <li>Recovery Center of Niagara</li> </ul>
<ul style="list-style-type: none"> <li>Eastern Niagara Hospital</li> </ul>	<ul style="list-style-type: none"> <li>Recovery Options Made Easy, Inc. (<i>formerly Housing Options Made Easy, Inc.</i>)</li> </ul>
<ul style="list-style-type: none"> <li>Encompass Health Home (<i>includes all associated care management agencies</i>)</li> <li>Endeavor Human Services</li> <li>Empower (formally Niagara Cerebral Palsy)</li> </ul>	<ul style="list-style-type: none"> <li>Rochester Psychiatric Center (inpatient and children's Community Residence)</li> <li>Save the Michaels of the World</li> <li>School Districts within Niagara County (Barker, Lewiston-Porter, Lockport, Newfane, Niagara Falls, Niagara-Wheatfield, North Tonawanda, Royalton- Hartland, Starpoint, Wilson)</li> </ul>
<ul style="list-style-type: none"> <li>Erie County Department of Mental Health (<i>including Single Point of Entry / Access– SPOE / SPOA– Program</i>)</li> <li>Erie County Medical Center (ECMC)</li> <li>Evergreen Health Services</li> <li>Gateway-Longview</li> <li>Glove House Community Residence</li> <li>Greater Buffalo United Healthcare Network (GBUHN)</li> <li>Harmonia Collaborative Care</li> <li>Health Homes of Upstate NY (HHUNY) (<i>includes all associated care management agencies</i>)</li> <li>Hillside Family of Agencies (Hillside Children's Center)</li> <li>Horizon Health Services</li> <li>Intandem</li> <li>Jewish Family Services</li> <li>Kaleida Health</li> <li>Living Opportunities of DePaul and DePaul Properties</li> <li>Mental Health Association in Niagara County (MHA)</li> <li>Mental Health Advocates of WNY</li> </ul>	<ul style="list-style-type: none"> <li>Specialty / Treatment Courts within Niagara County</li> <li>Spectrum Health and Human Services</li> <li>Strong Memorial Hospital</li> <li>Suburban Psychiatric Associates</li> <li>Transitional Services Inc.</li> <li>Venture Forthe</li> <li>Villa of Hope</li> <li>UBMD Physicians Group</li> <li>WNY Developmental Disabilities Regional Office</li> <li>WNYIL - Independent Living Project / Independent Living of Niagara County</li> <li>WNY Office of Mental Health Field Office</li> <li>WNY Office of Addiction Services &amp; Supports</li> <li>WNY Children's Psychiatric Center</li> <li>YWCA of Western NY</li> </ul>

updated 5.2023 mcgd

**Please ensure this page is included with the completed Part I application as authorized**

# Verification of Meeting Serious Emotional Disturbance (SED) Criteria

## Instructions:

A child or adolescent (under the age of 21) has Serious Emotional Disturbance (SED) if they have a designated mental illness diagnosis in the Diagnostic and Statistical Manual (DSM) categories below as defined by the most recent version of the DSM of Mental Health Disorders AND have experienced functional limitations listed below due to emotional disturbance over the past 12 months from the date of assessment on a continuous or intermittent basis as determined by the treating or assessing \*Licensed Practitioner of the Healing Arts (LPHA).

**This verification form is to be filled-out by a LPHA who has the ability to diagnose within their scope of practice under New York State law.** The LPHA must verify that the applicant meets SED criteria based on primary diagnosis and functional impairments.

**The form should be completed by a LPHA who has diagnosed or is actively treating the child.**

The LPHA verification is required component of a referral for eligibility determination and access to the following services offered through Niagara County CSPOA referral: Non-Medicaid Care Management, Community Crisis Intervention (CCI), and Family Support Services (non-Medicaid eligible individuals or individuals with Medicaid who do not meet medical necessity criteria).

NOTE: This form is not required if verification of SED by an LPHA is explicitly present in the youth's clinical documentation submitted with the application.

Child's Information			
Last Name	First Name	MI	Date of birth

Verification of Meeting Serious Emotional Disturbance Criteria				
Diagnostic Criteria				
As a Licensed Practitioner of the Healing Arts I verify that the child/youth has at least one primary DSM diagnosis in the following Qualifying Mental Health Categories				
Select at least one DSM Qualifying Mental Health Category	Current Diagnosis	Select Primary Diagnosis	Select Severity Indicator	Date of Diagnosis
Anxiety Disorders			Low Medium High	
Bipolar and Related Disorders			Low Medium High	
Depressive Disorders			Low Medium High	
Disruptive, Impulse-Control, and Conduct Disorders			Low Medium High	
Dissociative Disorders			Low Medium High	
Obsessive-Compulsive and Related Disorders			Low Medium High	
Feeding and Eating Disorders			Low Medium High	
Gender Dysphoria			Low Medium High	
Paraphilic Disorders			Low Medium High	
Personality Disorders			Low Medium High	
Schizophrenia Spectrum and Other Psychotic Disorders			Low Medium High	
Somatic Symptom and Related Disorders			Low Medium High	
Trauma- and Stressor-Related Disorders			Low Medium High	
Attention Deficit/Hyperactivity Disorder			Low Medium High	

Functional Criteria		
<p><b>As a Licensed Practitioner of the Healing Arts I verify that the child/youth has experienced functional limitations due to emotional disturbance over the past 12 months on a continuous or intermittent basis. The functional limitations have been moderate in at least two of the following areas or severe in at least one of the following areas:</b></p>		
<b>Moderate</b>	<b>Severe</b>	<p>Ability to care for self (e.g., personal hygiene; obtaining and eating food; dressing; avoiding injuries); or</p> <p>Family life (e.g., capacity to live in a family or family like environment; relationships with parents or substitute parents, siblings and other relatives; behavior in family setting); or</p> <p>Social relationships (e.g., establishing and maintaining friendships; interpersonal interactions with peers, neighbors and other adults; social skills; compliance with social norms; play and appropriate use of leisure time); or</p> <p>Self-direction/self-control (e.g., ability to sustain focused attention for a long enough period of time to permit completion of age-appropriate tasks; behavioral self-control; appropriate judgment and value systems; decision-making ability); or</p> <p>Ability to learn (e.g., school achievement and attendance; receptive and expressive language; relationships with teachers; behavior in school).</p>
Supporting documentation (psychosocial, psychological, psychiatric and education documentation) supports this verification.		
I hereby verify, as a Licensed Practitioner of the Healing Arts that this child/youth meets the clinical standards for SED determination as indicated above.		

LPHA Name	LPHA Signature	Date												
<b>Credentials Of LPHA:</b> <table> <tbody> <tr> <td>Registered Professional Nurse</td> <td>Licensed Master Social Worker</td> </tr> <tr> <td>Nurse Practitioner</td> <td>Licensed Clinical Social Worker</td> </tr> <tr> <td>Physician</td> <td>Licensed Marriage &amp; Family Therapist</td> </tr> <tr> <td>Psychiatrist</td> <td>Licensed Mental Health Counselor</td> </tr> <tr> <td>Licensed Psychologist</td> <td>Licensed Creative Arts Therapist</td> </tr> <tr> <td>Licensed Psychoanalyst</td> <td></td> </tr> </tbody> </table>			Registered Professional Nurse	Licensed Master Social Worker	Nurse Practitioner	Licensed Clinical Social Worker	Physician	Licensed Marriage & Family Therapist	Psychiatrist	Licensed Mental Health Counselor	Licensed Psychologist	Licensed Creative Arts Therapist	Licensed Psychoanalyst	
Registered Professional Nurse	Licensed Master Social Worker													
Nurse Practitioner	Licensed Clinical Social Worker													
Physician	Licensed Marriage & Family Therapist													
Psychiatrist	Licensed Mental Health Counselor													
Licensed Psychologist	Licensed Creative Arts Therapist													
Licensed Psychoanalyst														



## Children's Single Point of Access Application Part 1B

Youth Applicant's Information			
Legal Last Name	Legal First Name	MI	Date of Birth

**Directions:** This form is to be completed by the C-SPOA with the guardian and youth's assistance to gain a better understanding of the youth and family needs. This information will assist the C-SPOA in coordinating and matching the youth and family with services and supports.

Symptom Checklist – current and leading to referral	Never	Rarely	Sometimes	Often	Always	Unknown
Psychotic symptoms						
Attention Deficit/ Impulse Control						
Depressed Mood						
Anxiety						
Antisocial/ Unlawful Behaviors						
Alcohol/ Substance Use/ Abuse						
Self-Injurious Behaviors						
Suicidal ideation/ Threats						
Suicide Gestures/ Attempts						
Fire Setting						
Physical Aggression						
Running Away						
Sexually Inappropriate/Aggressive Behavior						
Difficulty in Peer Interactions						
Low Self-Esteem						
Truancy						
Other (specify)						

Current Educational Placement/Program			
Regular Class in age-appropriate grade	Special class for students with challenging social/emotional conditions	Day Treatment Program	High school graduate/GED
Regular class, above grade level	Vocational training only	Part-time Vocational/Educational	Not enrolled in a school program
Regular class, but behind at least one grade	BOCES	Residential School for students with challenging social/emotional conditions	College
Home instruction	Other (specify)		

Home School District	Grade	Date of last IEP
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Committee on Special Education Classification (CSE)- If Applicable		
Autism	Deafness	Deaf-Blindness
Emotional Disability	Hearing Impairment	Intellectual Disability
Learning Disability	Multiple Disabilities	Orthopedic Impairment
Other Health Impairment	Speech or Language Impairment	Traumatic Brain Injury
Visual Impairment		



## Children's Single Point of Access Application Part 1B

Youth Applicant's Information			
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Functional Limitation(s)	Moderate	Severe
Ability to care for self (e.g., personal hygiene; obtaining and eating food; dressing; avoiding injuries)		
Family life (e.g., capacity to live in a family or family like environment; relationships with parents or substitute parents, siblings and other relatives; behavior in family setting)		
Social relationships (e.g., establishing and maintaining friendships; interpersonal interactions with peers, neighbors and other adults; social skills; compliance with social norms; play and appropriate use of leisure time)		
Self-direction/self-control (e.g., ability to sustain focused attention for a long enough period of time to permit completion of age-appropriate tasks; behavioral self-control; appropriate judgment and value systems; decision-making ability)		
Ability to learn (e.g., school achievement and attendance; receptive and expressive language; relationships with teachers; behavior in school)		

### Youth Strengths

Self-advocacy	Family support
Conflict resolution	Good ability to establish rapport
Sets goals	Good personal hygiene and care in appearance
Seeks outside assistance when needed	Good physical health
Follows through with recommendations and addresses needs	Healthy social supports/peer group
Opening to/accepting of service/treatment	Involvement in activities/community
Capacity for openness	Religious institution/spiritual involvement
Interested in relationships with others	Views self as belonging to a cultural group
	Other (please specify): _____
	Capacity to tolerate painful emotions

### Caregiver Strengths

Ability to appropriately monitor and discipline	Problem-solving skills
Involved in seeking and supporting care to address youth's needs	Ability to navigate other systems involved (e.g., legal, medical, OPWDD, etc.)
Seeks additional information to advocate for the youth	Maintains safe, secure environment for youth
Ability to organize and manage household	Religious institution/spiritual involvement
Presence of natural supports to help raise youth	Views self as belonging to a cultural group
Provides stable housing	Healthy social supports/peer group
	Other (please specify): _____

## Children's Single Point of Access Application Part 1B

Youth Applicant's Information			
Legal Last Name	Legal First Name	MI	Date of Birth

Adverse Childhood Experiences (ACE)	
Has an ACE screening been conducted? Yes    No    Unknown	If so, please provide the score:

If so, by whom? (please provide name and contact information)
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Complex Trauma Screening (Direct questions about the youth to the caregiver)			
Questions (suggested prompts/questions for assessing trauma exposure within each category)	Trauma Type	Currently Present?	Present for >6 months?
<ul style="list-style-type: none"> <li>Was there a time when adults were supposed to be taking care of the youth but did not?</li> <li>Has there ever been a time when the youth did not have enough food to eat?</li> <li>Did a parent or other adult in the household often:               <ul style="list-style-type: none"> <li>Swear at the youth, insult the youth, put the youth down, or humiliate the youth?</li> <li>Or act in a way that made the youth afraid that the youth might get physically hurt?</li> </ul> </li> </ul>	Physical/ Emotional Neglect  OR  Emotional Maltreatment	Yes  No	Yes  No
<ul style="list-style-type: none"> <li>Has the youth lived with someone other than the youth's parents/caregiver when the youth was growing up (because they could not take care of the youth or the youth was kicked out)?</li> <li>Has the youth ever been homeless? (i.e. Has the youth ran away or was kicked out and lived on the street for more than a few days? Or the youth and the youth's family had no place to stay and lived on the street, in a car, or in a shelter?)</li> </ul>	Displacement	Yes  No	Yes  No
<ul style="list-style-type: none"> <li>Has the youth lost a primary caregiver through death, incarceration, deportation, migration, or for other reasons?</li> <li>Has the youth been left in the care of different people due to parental incapacity or dysfunction, even if the youth's primary place of residence did not change?</li> </ul>	Attachment Disruption	Yes  No	Yes  No
<ul style="list-style-type: none"> <li>Has anyone ever made the youth do sexual things the youth didn't want to do, like touch the youth, make the youth touch them, or try to have any kind of sex with the youth?</li> <li>Has anyone ever <i>tried</i> to make the youth do sexual things the youth didn't want to do?</li> <li>Has anyone ever forced the youth (or tried to force the youth) to have intercourse?</li> </ul>	Sexual abuse  OR  Sexual assault/rape	Yes  No	Yes  No

## Children's Single Point of Access Application Part 1B

Youth Applicant's Information			
Legal Last Name	Legal First Name	MI	Date of Birth

Questions (suggested prompts/questions for assessing trauma)	Trauma Type	Currently Present?	Present for >6 months?
<ul style="list-style-type: none"> <li>Has the youth ever been hit or intentionally hurt by a family member?               <ul style="list-style-type: none"> <li>If yes, did the youth have bruises, marks, or injuries?</li> </ul> </li> </ul>	Physical abuse	Yes No	Yes No
<ul style="list-style-type: none"> <li>Has the youth ever <i>seen</i> or <i>heard</i> someone in the youth's family/house being beaten up?</li> <li>Has the youth ever <i>seen</i> or <i>heard</i> someone in the youth's family/house get threatened with harm?</li> </ul>	Domestic violence	Yes No	Yes No
<ul style="list-style-type: none"> <li>Has the youth ever <i>seen</i> or <i>heard</i> someone being beaten, or who was badly hurt?</li> <li>Has the youth seen someone who was dead or dying, or watched or heard them being killed?</li> <li>Has the anyone ever hit the youth or beaten the youth up (physically assaulted the youth?)</li> <li>Has anyone ever threatened to physically assault the youth (with or without a weapon)?</li> </ul>	Community violence  OR Interpersonal violence	Yes No	Yes No
<ul style="list-style-type: none"> <li>Did other youth often tease or insult the youth, put the youth down, or threaten the youth physically?</li> <li>Did they spread lies about the youth or turn other people against the youth?</li> </ul>	Bullying	Yes No	Yes No
<ul style="list-style-type: none"> <li>Has the youth or anyone in the youth's family been involved in, or <i>in direct danger</i> from a terrorist attack, war, or political violence?</li> </ul>	Terrorism/ War/Political Violence	Yes No	Yes No
<ul style="list-style-type: none"> <li>Has anyone ever stalked the youth?</li> <li>Did anyone ever try to kidnap the youth?</li> </ul>	Stalking/ Kidnapping	Yes No	Yes No
<ul style="list-style-type: none"> <li>Is there anything else really scary or very upsetting that has happened to the youth that I haven't asked about? Sometimes people have something in mind but they're not comfortable talking about the details. Is that true for you?</li> </ul>	Other Trauma	Yes No	Yes No