

SECTION 3: COMPLIANCE CERTIFICATION

Please select a response to the below questions/statements.

1. Has the project been awarded over \$200,000 in HUD assistance? (Please consult the contracting organization if unsure).
 - Yes – continue to question 2
 - No – continue to the bottom of form, complete, sign, date. No additional forms required.

2. This project is receiving over \$200,000 of HUD assistance, and either the business itself, and/or any of its employees meet the definition of Section 3. (See Section 3 Acknowledgement of Compliance Requirements form for Business Concern and Worker criteria).
 - True – continue to question 3
 - False – continue to the bottom of form, complete, sign, date. No additional forms required.

3. Select how the Section 3 definition is met.
 - The business **and** one or more employees are Section 3 – continue to question 4
 - The business is Section 3; **no** employees are Section 3 – continue to question 4
 - The business is **not** Section 3; one or more employees **are** Section 3 – continue to question 4

4. Please list the employee(s) name(s) and job title which meet the definition of a Section 3 Worker:

Please print your name, company, title, and sign/date below.

Company Name	
Name	
Job Title	
Date	
Signature	

Please complete the corresponding required Section 3 Forms:

Section 3 Business Self-Certification Form – Required from all businesses

Section 3 Laborer Self-Certification Form – Required if employee (laborer) of contractor/subcontractor is Section 3